I hereby certify that this correspondence is being deposited on the date noted below with the United States Postal Service with sufficient postage as Express Mail No. EM 219109708 US, in an envelope addressed to:

Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

August 11, 2009

Date of Deposit

Jay Q. Knobloch, Reg. No. 57,347

Name of Registered Representative

Signature August 11, 2009

Date of Signature

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Maria N. von Buhr

Group Art Unit: 2121

Confirmation No.: 9324

In re Appln. of:

RANSOM et al.

Appln. No.:

10/666,398

Filed:

September 19, 2003

For: PUSH COMMUNICATIONS

ARCHITECTURE FOR

INTELLIGENT ELECTRONIC

**DEVICES** 

Attorney Docket No: 6270/126 (300126)

NINTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(c)(2), Applicant hereby cites the references listed below.

These references were recently brought to the attention of the Applicant during the course of a litigation in which one or more related patents were asserted. The lawsuit was assigned the caption Square D Company v. E.I. Electronics, Inc., 1:06-cv-05079 (N.D. III.). During this litigation, the defendant filed at least two expert reports. One of the expert reports, which will be referred to herein as "the Bingham report," was authored by Richard Bingham and was signed on June 18, 2009. A second expert report, which will be referred to herein as "the Warnecke report," was authored by

Michael O. Warnecke and signed on May 27, 2009. For the convenience of the Examiner, and in order to fully comply with the duty disclosure, the Applicant is submitting copies of the Bingham and Warnecke reports with this Statement.

While the Applicant has attempted to locate copies of all of the references cited in these expert reports, several references could not be located. In regards to the Bingham report, the author reviewed a significant amount of references during the preparation of the Bingham report, as shown on pages 2-3 and 6-10 of the Bingham report. Unfortunately, the defendant has not provided the Applicant with copies of, or even correct citations for, each of the references referred to therein. Appendix A of this Statement shows a letter sent by the defendant's counsel, Benjamin T. Horton, on July 21, 2009 via email. The letter includes an "Exhibit A," which includes a list (hereinafter, "the Horton list") allegedly disclosing the location(s) of the reference cited in the Bingham report within previously provided Discovery documents produced earlier in the litigation. It appears that the Horton list is setup so that the first column is a listing of each reference reviewed in the Bingham report and the second column is a listing of the corresponding Bates Number(s) reference to the produced documents. Unfortunately, the Horton list fails to account for all of the references cited in the Bingham report and mis-cites several of the documents which are listed, making it difficult to locate copies of these references.

Accordingly, as the Applicant has been unable to locate copies of each of the references disclosed in the Bingham report, in order to fully comply with the duty of disclosure, the Applicant is submitting copies of each of the references referenced by the Bates Number(s) provided in the Horton list. For the convenience of the Examiner, Appendix B includes a list of the references being cited in this Statement as they relate to the Horton List. As shown in Appendix B, column 1 is a listing of all of the documents listed in the Bingham report. Column 2 is a list of the documents provided on the Horton list. Column 3 is a list of the Bates Number(s) for the documents listed in the Horton list. As shown in Appendix B, there are several documents which were not provided in the Horton list but were included in the Bingham report. The Applicant was able to locate some of these documents, such as the "Gunther Deposition," but was not able to locate all of the documents. In addition to the references that the Applicant was able to locate, the Applicant is citing the documents shown in column 4.

In regards to the Warnecke report, the Applicant is citing the references mentioned in the discussion. These references are being cited out of an abundance of caution, even though some of these references may have been cited in previous information disclosure statements.

**U.S. PATENT DOCUMENTS** 

DOCUMENT NUMBER	DATE	NAME
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## NON-PATENT DOCUMENTS DISCLOSED IN THE BINGHAM REPORT

ABB Alpha Solid State Polyphase Meter, Product Bulletin 42-270-B, September 15, 1995	
Dranetz Power Platform® PP1 & PP1E with TASKCard®-8000 Operator's Manual Revision A, April 15, 1997	
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Dranetz Brochure, "Tomorrow's Technology Working for You Today", pps. 1-11, 1994 BMI Brochure, "PowerProfiler™ 3030(A)", pps. 1-2, 1994 BMI 3030/3060 Power Profiler User's Guide, pps. 1-8, 1990 Dranetz OM-PC-PP1 PC PP1 Communication and Analysis Software Operator's Manual, pps. 1-6, March 15, 1994 Dranetz Brochure, "Power Platform® PP1-R", pp. 1-2 DRAN-SCAN® 2000 Version 3.x, Power Quality Monitoring System Installation and Startup Guide, pps. ii-43, November 1998 Dranetz Power Platform® PP1-R User's Guide Revision A, November 1998 Dranetz Brochure, "Power Platform 4300 with TASKCard 808 Demand/Energy and Harmonic Analysis", pp. 1, 1996 Dranetz Power Platform® Model 4300 TASKCard® PQLite Operator's Manual Revision A, January 15, 1997 Dranetz Power Platform® 4300 TASKCard® PQLite User's Guide Revision B, May 1, 2002 7100/7100S PQNode® User's Guide, pps. iii-33, 1998 7100/7100S PQNode® User's Guide, pps. iii-33, 1998 8010 PQNode User's Guide, Revision A, pps. iii-33, 1998 8010 PQNode User's Guide, Revision A, pps. iii-33, 1998 Power Measurement Ltd., 3720 ACM Advanced Power Instrumentation Package, pps. 1-12, September 14, 1992 Brochure, Model 3600A Power Line Disturbance Monitor, pps. 1-5 Liebert Brochure, Model 3600A Portable Programmable Power Line Disturbance Monitor, pps. 1-2, 1985 Franklin Electric Service Manual for Model 3600 Power Line Disturbance Monitor, pps. 1-28 Brochure, "DataNodes™ EPQ Series, A Clear and Open Window to Enhanced Power Quality, Energy and More", pp. 1 Melhorn et al., "Accessing Power Quality Information and Monitoring Results Using the World Wide Web or a Private Corporate Web", pps 1-12 Dranetz Series 5500 InfoNode® User's Guide Revision D, 1999 Dranetz Series 5500 InfoNode® User's Guide Revision D, 1999 Signature System™ Brochure, "Information. Knowledge. Power.", pps. 1-9, 1999 Dranetz Series 5500 DualNode User's Guide Revision C, 2002 Dranetz EPQ DataNode® Series User's Guide Revision D, 2000 Dranetz Power Platform, PP1 SM-PP1 Service Manual, February 1, 1995 Dranetz Power Platform 4300 Service Manual, Revision B, May 1, 2002 kV S-Base Meters, pps. 1-2, September 1999 GE kV Vector Electricity Meter, Product Description, Option Board Installation Procedures, Operating Instructions, Maintenance Instructions and Cite Analysis Guides, 1997 Manual, "kV Vector Electricity Meter with the Site Genie Monitor" Gunther et al., "Application of Advanced Characterization Alogrigthms, UCA and Internet Communications Technology At the Point of Power Quantity and Quality Measurement", Presented at EPRI 1999 PQA Conference, Charlotte, NC, pps. 1-16, 1999

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Dranetz 8800 PowerScope® User's Guide, 1992

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Dranezt Brochure, "Power Platform 4300 Handheld Power Analysis", pp. 1-6, 1995

"Electronic Energy Meter with Powerline Modem on DSP56F80x", Preliminary Information Application Brief, pps. 1-2, 2000

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"Powerlogic® Power Meter," Class 3020, Square D Schneider Electric, brochure, 1998, 3 pages

"Powerlogic® Metering and Monitoring Devices," Square D Schneider Electric, brochure, 2000, 3 pages

"Powerlogic® Power Monitoring and Control System," Square D Schneider Electric, brochure, 1998, 8 pages

"Powerlogic® Series 4000 Circuit Monitor," Square D Schneider Electric, brochure, 2000, 4 pages

"Digest," Square D Schneider Electric, product catalog, March 2000, 10 pages

"Powerlogic ® Series 4000 Circuit Monitor Now Available with Ethernet Communications Card," Square D Schneider Electric, News Release, July 20, 2000, 2 pages

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"Powerlogic ® Ethernet Communication Card," Square D Schneider Electric, brochure, August 2000, 2 pages

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"Powerlogic ® Circuit Monitor Series 4000 Installation Manual," Schneider Electric, Instruction Bulletin No. 63230-300-209B1, December 2005, 110 pages

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"Powerlogic ® Ethernet Communications Card," Schneider Electric, Instruction Bulletin No. 63230-314-202A4, January 2006, 14 pages

"Powerlogic ® Ethernet Communications Card," Schneider Electric, Instruction Bulletin No. 63230-304-204A4, January 2006, 46 pages

"GE kV2 Multifunction Electricity Meter," GE Industrial Systems, GEH-7277, Product Description, Operating Instructions, Maintenance Instructions, Upgrading, Site Analysis Guides, Diagrams, 12/2000.

Report of Richard Bingham dated June 18, 2009

Report of Michael O. Warnecke dated May 27, 2009

For the convenience of the Examiner, the Applicant is enclosing Form PTO-1449, along with copies of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above references and entry thereof into the record of this application.

By submitting this Statement, the Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56.

The Applicant is also the owner of U.S. Patent No. 6,751,562, which was assigned Reexamination Control No. 90/009,028; U.S. Patent No. 6,983,211, which was assigned Reexamination Control No. 90/008,874; U.S. Patent No. 6,871,150, which was assigned Reexamination Control No. 90/009,006; U.S. Patent No. 6,186,842, which was assigned Reexamination Control No. 90/008,873; U.S. Patent No. 7,158,050, which was assigned Reexamination Control No. 90,009,029; and U.S. Patent No. 7,191,076, which was assigned Reexamination Control No. 90/009,039. As such, the Applicant respectfully submits that portions of the information disclosed in the file histories of the other reexamination proceedings may be relevant to the present case and requests that the Examiner review the information in detail. As this information is available via PAIR,

the Applicant has not included copies or provided a list of each action; however, is willing to provide copies or such a listing upon request.

Applicants have calculated an RCE filing fee in the amount of \$810.00 in order to have this Information Disclosure Statement considered. The Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the accompanying Transmittal.

Respectfully submitted,

August 11, 2009

Date

Jay Q. Knpbloch (Reg. No. 57,347)